



"Lazy Thinking": A Method for the Automated Invention of Algorithms

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2 of 50

A Non-Trivial Algorithm: Gröbner-Bases

"Lazy Thinking"

Synthesis of Gröbner-Bases Algorithm



3 of 50

What Are Groebner Bases?

$$F = \{x^2 y - 2 x z + 5 y - 3, \\ x y^2 + x^2 + z, \\ x z - y^2 + 2 x - 1\}$$

$$\{-3 + 5 y + x^2 y - 2 x z, x^2 + x y^2 + z, -1 + 2 x - y^2 + x z\}$$

GroebnerBasis[F]

$$\{146\,302 + 448\,564 z + 502\,763 z^2 + 242\,180 z^3 + 39\,771 z^4 - \\ 6231 z^5 - 2448 z^6 + 168 z^7 + 144 z^8 + 16 z^9, 104\,376\,175\,362\,406 + \\ 1\,599\,126\,115\,499 x + 285\,345\,650\,746\,687 z + 259\,094\,430\,962\,640 z^2 + \\ 81\,019\,429\,651\,948 z^3 - 562\,741\,124\,769 z^4 - 4\,290\,216\,888\,948 z^5 - \\ 216\,539\,112\,184 z^6 + 199\,291\,173\,968 z^7 + 31\,903\,397\,104 z^8, \\ -29\,252\,096\,339\,198\,961 + 996\,255\,569\,955\,877 y - 79\,297\,437\,999\,899\,296 z - \\ 73\,993\,371\,970\,407\,310 z^2 - 24\,666\,034\,475\,337\,294 z^3 - \\ 250\,747\,610\,968\,661 z^4 + 1\,288\,154\,187\,383\,705 z^5 + \\ 85\,610\,415\,996\,090 z^6 - 58\,609\,022\,325\,772 z^7 - 10\,267\,480\,080\,072 z^8\}$$

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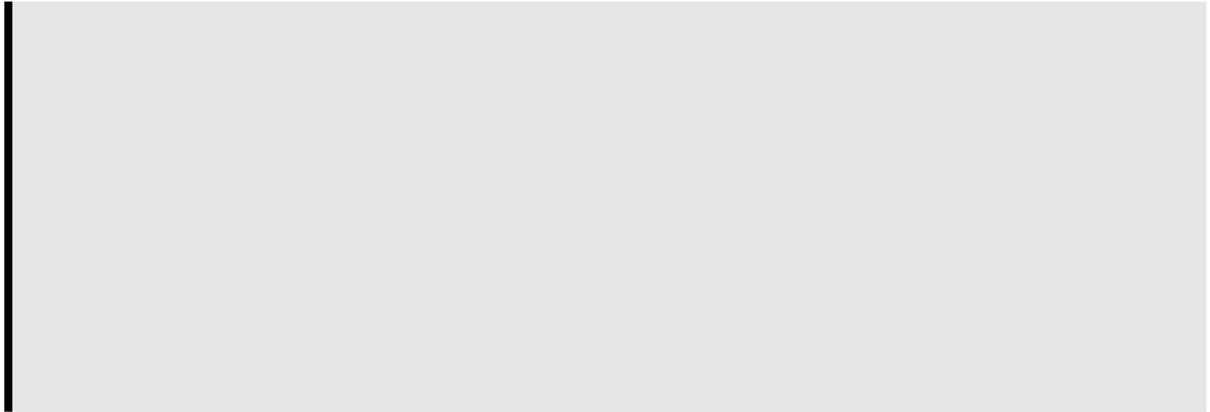
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4 of 50

Application Example: "Algebraic Biology" 2007, RISC, S. Petrovic et al.

Gegeben:

Gesucht:



x, y, z, ...: Probabilities at the nodes of the "similarity tree".



5 of 50

Application Example: Software Reverse Engineering, 2007, RISC, T. Jebelean, D. Kapur, ...

Given: Programm.

Find: Specification.

Given: Program, specification.

Find: Loop invariants for the formal verification.

x, y, z, ...: the values of the program variables.



6 of 50

**Application Example:
Break Cryptographic Codes,
2003, Paris VI, J.C. Faugere et al.**

Given: Input - Output test examples

Find: the key, e.g. 011000101011011....11011101.

x, y, z, \dots : the bits in the key.



7 of 50

Application Example: "Algebraic Oil", Shell 2005, RISC 2009

Given: Observations about oil flow in dependence on the position of the valves.



Find: The coefficients of a polynomials systems, that describes the behavior.

x, y, z, \dots : the position of the valves.



8 of 50

Relevance of Groebner Bases

- Dozens of (difficult) problems on non-linear systems can be reduced to the construction of Groebner bases
(~ 1000 papers, ~ 30 books, own AMS Classification number: 13P10).
- Some of these problems were open for many decades.
- Solution of these problems is possible for Groebner bases, because Groebner bases have some nice properties (canonicity, elimination, syzygy property).
- Therefore the construction of Groebner bases is an important problem.

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9 of 50

Definition of Gröbner Bases (BB 1965)

```
is-Gröbner-basis [G] ⇔ is-confluent [ →G ] .
```

→_G ... a division step.

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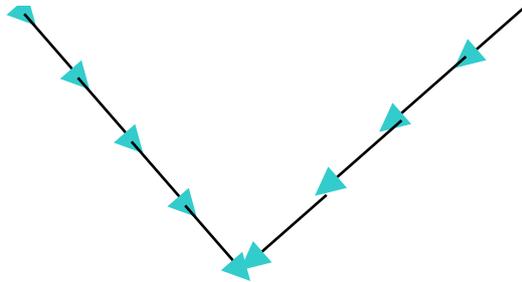
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10 of XXX

Confluence of Division \rightarrow_G

`is-confluent` [\rightarrow] : $\Leftrightarrow \forall_{f_1, f_2} (f_1 \leftrightarrow^* f_2 \Rightarrow f_1 \downarrow^* f_2)$



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11 of XXX

Example of a Property of Gröbner Bases: Elimination Property

A Gröbner bases G (w.r.t. a lexicographic ordering) is "triangularized" (see the example at the beginning)!

This allows to obtain all the solutions of G by successive elimination.

$$F = \left\{ \begin{array}{l} x^2 y - 2 x z + 5 y - 3, \\ x y^2 + x^2 + z, \\ x z - y^2 + 2 x - 1 \end{array} \right\}$$

GroebnerBasis [F]

```
{ 146 302 + 448 564 z + 502 763 z2 + 242 180 z3 +
  39 771 z4 - 6231 z5 - 2448 z6 + 168 z7 + 144 z8 + 16 z9,
 104 376 175 362 406 + 1 599 126 115 499 x + 285 345 650 746 687 z +
 259 094 430 962 640 z2 + 81 019 429 651 948 z3 - 562 741 124 769 z4 -
 4 290 216 888 948 z5 - 216 539 112 184 z6 + 199 291 173 968 z7 + 31 903 397 104 z8,
-29 252 096 339 198 961 + 996 255 569 955 877 y - 79 297 437 999 899 296 z -
 73 993 371 970 407 310 z2 - 24 666 034 475 337 294 z3 -
 250 747 610 968 661 z4 + 1 288 154 187 383 705 z5 +
 85 610 415 996 090 z6 - 58 609 022 325 772 z7 - 10 267 480 080 072 z8}
```

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12 of 50

The Problem of Constructing Gröbner Bases

Find algorithm **Gb** such that

$$\forall \text{ is-finite}[F] \left(\begin{array}{l} \text{is-finite}[\text{Gb}[F]] \\ \text{is-Gröbner-basis}[\text{Gb}[F]] \\ \text{ideal}[F] = \text{ideal}[\text{Gb}[F]]. \end{array} \right)$$

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13 of 50

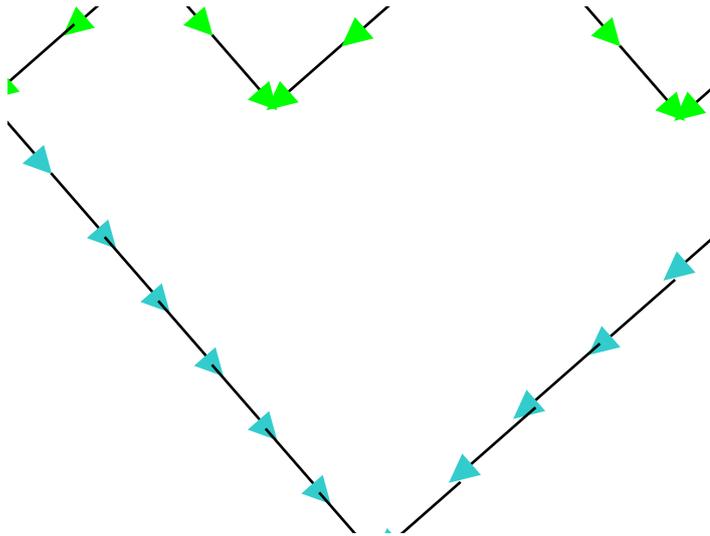
The "Main Theorem" of Algorithmic Gröbner Bases Theory (BB 1965):

$$F \text{ is a Gröbner basis} \iff \forall_{f_1, f_2 \in F} \text{remainder}[F, \text{S-polynomial}[f_1, f_2]] = 0.$$

Proof: Nontrivial. Combinatorial.

The theorem **reduces** an **infinite** check to a **finite** check: Recall definition of "G is a Gröbner basis":

`is-Gröbner-basis` [G] \Leftrightarrow `is-confluent` [\rightarrow_G] .



The power of the Gröbner bases method is contained in the invention of the notion of **S-polynomial** and the proof of the above theorem.

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14 of 50

S-Polynomials

$$f_1 = -2y + xy$$

$$f_2 = -x^2 + y^2$$

$$-2y + xy$$

$$-x^2 + y^2$$

$$\text{S-polynomial}[f_1, f_2] = y f_1 - x f_2$$

$$y(-2y + xy) - x(-x^2 + y^2)$$

$$\text{S-polynomial}[f_1, f_2] = y f_1 - x f_2 \quad // \text{Expand}$$

$$x^3 - 2y^2$$

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15 of 50

An Algorithm for *Constructing* Gröbner Bases (BB 1965)

Recall the main theorem:

$$F \text{ is a Gröbner basis} \iff \forall_{f_1, f_2 \in F} \text{remainder}[F, \\ \text{S-polynomial}[f_1, f_2]] = 0.$$

Based on the main theorem, the problem can be solved by the following algorithm:

Start with $G := F$.

For any pair of polynomials $f_1, f_2 \in G$:

$h := \text{remainder}[G, \text{S-polynomial}[f_1, f_2]]$

If $h = 0$, consider the next pair.

If $h \neq 0$, add h to G and iterate.

The algorithm allows [many refinements and variants](#) which, however, are all based on the notion of [S-polynomial](#) and variants of the main theorem.

Correctness and Termination of the Algorithm

Correctness: Easy as soon as main theorem is available.

Termination: by [Dickson's Lemma](#) (Dickson 1913, BB 1970).

A sequence p_1, p_2, \dots of power products with the property that, for all $i < j$, p_i does not divide p_j , must be finite.

Example

$$F = \{x^2 y - 2 x z + 5 y - 3, \\ x y^2 + x^2 + z, \\ x z - y^2 + 2 x - 1\}$$

$$\{-3 + 5 y + x^2 y - 2 x z, x^2 + x y^2 + z, -1 + 2 x - y^2 + x z\}$$

GroebnerBasis [F]

$$\{146\,302 + 448\,564 z + 502\,763 z^2 + 242\,180 z^3 + \\ 39\,771 z^4 - 6\,231 z^5 - 2\,448 z^6 + 168 z^7 + 144 z^8 + 16 z^9, \\ 104\,376\,175\,362\,406 + 1\,599\,126\,115\,499 x + 285\,345\,650\,746\,687 z + \\ 259\,094\,430\,962\,640 z^2 + 81\,019\,429\,651\,948 z^3 - 562\,741\,124\,769 z^4 - \\ 4\,290\,216\,888\,948 z^5 - 216\,539\,112\,184 z^6 + 199\,291\,173\,968 z^7 + 31\,903\,397\,104 z^8, \\ -29\,252\,096\,339\,198\,961 + 996\,255\,569\,955\,877 y - 79\,297\,437\,999\,899\,296 z - \\ 73\,993\,371\,970\,407\,310 z^2 - 24\,666\,034\,475\,337\,294 z^3 - \\ 250\,747\,610\,968\,661 z^4 + 1\,288\,154\,187\,383\,705 z^5 + \\ 85\,610\,415\,996\,090 z^6 - 58\,609\,022\,325\,772 z^7 - 10\,267\,480\,080\,072 z^8\}$$

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18 of 50

A Non-Trivial Algorithm: Gröbner-Bases

"Lazy Thinking"

Synthesis of Gröbner-Bases Algorithm

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19 of 50

The "Lazy Thinking" Method

Is a method for the systematic invention of algorithms.

The method can be automated if suitable automated reasoners are available.

The Theorema system (BB et al. 1996 -) is a possible frame for the automation of the method.

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20 of 50

Defining, Conjecturing, Proving, Programming, Computing in Theorema

■ Load Theorema

```
In[29]:= Needs["Theorema`"];
```

```
Prove::shdw : Symbol Prove appears in multiple contexts
      {Theorema`Language`Semantics`UserLanguage`,
      Global}; definitions in context
      Theorema`Language`Semantics`UserLanguage` may
      shadow or be shadowed by other definitions. >>
```

■ Define and Conjecture

```
TS_In[30]:=
```

```
Definition["addition", any[m, n],
  m + 0 = m      " + 0:" ]
  m + n + 1 = (m + n) + 1  " + .:" ]
```

TS_In[31]:=

```
Proposition["left zero", any[m, n],
  0 + n = n "0+"]
```

■ Prove

TS_In[32]:=

```
Prove[Proposition["left zero"],
  using → ⟨Definition["addition"]⟩,
  by → NNEqIndProver,

  ProverOptions → {TermOrder → LeftToRight},
  transformBy → ProofSimplifier, TransformerOptions → {branches → {Proved}}];
```

■ Automatically Generated Proof

Prove:

(Proposition (left zero): 0+) $\forall_n (0 + n = n)$,

under the assumptions:

(Definition (addition): +0:) $\forall_m (m + 0 = m)$,

(Definition (addition): + .:) $\forall_{m,n} (m + n^+ = (m + n)^+)$.

We prove (Proposition (left zero): 0+) by induction on n .

Induction Base:

(1) $0 + 0 = 0$.

A proof by simplification of (1) works.

Simplification of the lhs term:

$0 + 0 =$ by (Definition (addition): +0:)

0]

Simplification of the rhs term:

0]

Induction Step:

Induction Hypothesis:

(2) $0 + n_1 = n_1$

Induction Conclusion:

(3) $0 + n_1^+ = n_1^+$.

A proof by simplification of (3) works.

Simplification of the lhs term:

$0 + n_1^+ = \text{by (Definition (addition): } + \text{.)}$

$(0 + n_1)^+ = \text{by (2)}$

$n_1^+]$

Simplification of the rhs term:

$n_1^+]$

□

■ Compute

TS_In[33]:=

```
Compute[0++ + 0++, using → <Definition["addition"]>]
```

TS_Out[33]=

```
((((0+)+)+)+)+
```

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21 of 50

Another Example of Defining, Conjecturing, Proving, ...

TS_In[34]:=

```
SetOptions[Prove, transformBy → ProofSimplifier,  
TransformerOptions → {branches → Proved}];
```

TS_In[35]:=

```
Definition["limit:", any[f, a],  
limit[f, a] ⇔ ∃ε>0 ∃N ∀n ≥ N |f[n] - a| < ε]
```

General::spell1 :

New symbol name "limit" is similar to existing symbol "Limit" and may be misspelled.

>>

TS_In[36]:=

```
Proposition["limit of sum", any[f, a, g, b],  
(limit[f, a] ∧ limit[g, b]) ⇒ limit[f + g, a + b]]
```

TS_In[37]:=

```
Definition["+:", any[f, g, x],  
(f + g)[x] = f[x] + g[x]]
```

TS_In[38]:=

```
Lemma["|+|", any[x, y, a, b, δ, ε],
      (|(x + y) - (a + b)| < (δ + ε)) ⇔ (|x - a| < δ ∧ |y - b| < ε)]
```

TS_In[39]:=

```
Lemma["max", any[m, M1, M2],
      m ≥ max[M1, M2] ⇒ (m ≥ M1 ∧ m ≥ M2)]
```

General::spell1 :

New symbol name "max" is similar to existing symbol "Max" and may be misspelled.

>>

TS_In[40]:=

```
Theory["limit",
       Definition["limit:"]
       Definition["+:"]
       Lemma["|+|"]
       Lemma["max"]
       ]
```

TS_In[41]:=

```
Prove[Proposition["limit of sum"], using → Theory["limit"], by → PCS]
```

TS_Out[41]=

```
- ProofObject -
```

Proof contains interesting algorithmic and didactic information!

■ Automatically Generated Proof

Prove:

(Proposition (limit of sum)) $\forall_{f, a, g, b} (\text{limit}[f, a] \wedge \text{limit}[g, b] \Rightarrow \text{limit}[f + g, a + b]),$

under the assumptions:

(Definition (limit:)) $\forall_{f, a} \left(\text{limit}[f, a] \Leftrightarrow \forall_{\epsilon > 0} \exists_{N \in \mathbb{N}} \forall_{n \geq N} (|f[n] - a| < \epsilon) \right),$

(Definition (+:)) $\forall_{f, g, x} ((f + g)[x] = f[x] + g[x]),$

(Lemma (|+|)) $\forall_{x, y, a, b, \delta, \epsilon} (|x + y - (a + b)| < \delta + \epsilon \Leftrightarrow (|x - a| < \delta \wedge |y - b| < \epsilon)),$

(Lemma (max)) $\forall_{m, M1, M2} (m \geq \max[M1, M2] \Rightarrow m \geq M1 \wedge m \geq M2).$

We assume

(1) $\text{limit}[f_0, a_0] \wedge \text{limit}[g_0, b_0],$

and show

$$(2) \text{ limit } [f_0 + g_0, a_0 + b_0].$$

Formula (1.1), by (Definition (limit:)), implies:

$$(3) \forall_{\substack{\epsilon \\ \epsilon > 0}} \exists_N \forall_{\substack{n \\ n \geq N}} (|f_0[n] - a_0| < \epsilon).$$

By (3), we can take an appropriate Skolem function such that

$$(4) \forall_{\substack{\epsilon \\ \epsilon > 0}} \forall_{\substack{n \\ n \geq N_0[\epsilon]}} (|f_0[n] - a_0| < \epsilon),$$

Formula (1.2), by (Definition (limit:)), implies:

$$(5) \forall_{\substack{\epsilon \\ \epsilon > 0}} \exists_N \forall_{\substack{n \\ n \geq N}} (|g_0[n] - b_0| < \epsilon).$$

By (5), we can take an appropriate Skolem function such that

$$(6) \forall_{\substack{\epsilon \\ \epsilon > 0}} \forall_{\substack{n \\ n \geq N_1[\epsilon]}} (|g_0[n] - b_0| < \epsilon),$$

Formula (2), using (Definition (limit:)), is implied by:

$$(7) \forall_{\substack{\epsilon \\ \epsilon > 0}} \exists_N \forall_{\substack{n \\ n \geq N}} (|(f_0 + g_0)[n] - (a_0 + b_0)| < \epsilon).$$

We assume

$$(8) \epsilon_0 > 0,$$

and show

$$(9) \exists_N \forall_{\substack{n \\ n \geq N}} (|(f_0 + g_0)[n] - (a_0 + b_0)| < \epsilon_0).$$

We have to find N^{***} such that

$$(10) \forall_n (n \geq N^{***} \Rightarrow |(f_0 + g_0)[n] - (a_0 + b_0)| < \epsilon_0).$$

Formula (10), using (Definition (+:)), is implied by:

$$(11) \forall_n (n \geq N^{***} \Rightarrow |f_0[n] + g_0[n] - (a_0 + b_0)| < \epsilon_0).$$

Formula (11), using (Lemma (|+|)), is implied by:

$$(12) \exists_{\substack{\delta, \epsilon \\ \delta + \epsilon = \epsilon_0}} \forall_n (n \geq N^{***} \Rightarrow |f_0[n] - a_0| < \delta \wedge |g_0[n] - b_0| < \epsilon).$$

We have to find δ^* , ϵ^{**} , and N^{***} such that

$$(13) (\delta^* + \epsilon^{**} = \epsilon_0) \bigwedge_n (n \geq N^{***} \Rightarrow |f_0[n] - a_0| < \delta^* \wedge |g_0[n] - b_0| < \epsilon^{**}).$$

Formula (13), using (6), is implied by:

$$(\delta^* + \epsilon^{**} = \epsilon_0) \bigwedge_n (n \geq N^{***} \Rightarrow \epsilon^{**} > 0 \wedge n \geq N_1[\epsilon^{**}] \wedge |f_0[n] - a_0| < \delta^*),$$

which, using (4), is implied by:

$$(\delta^* + \epsilon^{**} = \epsilon_0) \bigwedge_n (n \geq N^{***} \Rightarrow \delta^* > 0 \wedge \epsilon^{**} > 0 \wedge n \geq N_0[\delta^*] \wedge n \geq N_1[\epsilon^{**}]),$$

which, using (Lemma (max)), is implied by:

$$(14) (\delta^* + \epsilon^{**} = \epsilon_0) \bigwedge_n (n \geq N^{***} \Rightarrow \delta^* > 0 \wedge \epsilon^{**} > 0 \wedge n \geq \max[N_0[\delta^*], N_1[\epsilon^{**}]]).$$

Formula (14) is implied by

$$(15) (\delta^* + \epsilon^{**} = \epsilon_0) \bigwedge \delta^* > 0 \bigwedge \epsilon^{**} > 0 \bigwedge_n (n \geq N^{***} \Rightarrow n \geq \max[N_0[\delta^*], N_1[\epsilon^{**}]]).$$

Partially solving it, formula (15) is implied by

$$(16) (\delta^* + \epsilon^{**} = \epsilon_0) \wedge \delta^* > 0 \wedge \epsilon^{**} > 0 \wedge (N^{***} = \max[N_0[\delta^*], N_1[\epsilon^{**}]]).$$

Now,

$$(\delta^* + \epsilon^{**} = \epsilon_0) \wedge \delta^* > 0 \wedge \epsilon^{**} > 0$$

can be solved for δ^* and ϵ^{**} by a call to Collins cad-method yielding a sample solution

$$\delta^* \leftarrow \frac{\epsilon_0}{2},$$

$$\epsilon^{**} \leftarrow \frac{\epsilon_0}{2}.$$

Furthermore, we can immediately solve

$$N^{***} = \max[N_0[\delta^*], N_1[\epsilon^{**}]]$$

for N^{***} by taking

$$N^{***} \leftarrow \max\left[N_0\left[\frac{\epsilon_0}{2}\right], N_1\left[\frac{\epsilon_0}{2}\right]\right].$$

Hence formula (16) is solved, and we are done. □

The Algorithm Invention ("Synthesis") Problem

Given a problem specification P (in predicate logic), find an algorithm A such that

$$\forall_{\mathbf{x}} P[\mathbf{x}, A[\mathbf{x}]].$$

Examples of specifications P :

```

P[x, y] ⇔ is-sorted-version[x, y]

P[x, y] ⇔ is-integral-of[x, y]

P[x, y] ⇔ is-Gröbner-basis[x, y]
.....

```

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23 of 50

Algorithm Synthesis by "Lazy Thinking" (BB 2002)

"Lazy Thinking" Method for Algorithm Synthesis =
 My Advice to "Humans" (or "Computers") How to Invent Algorithms.

Given: A problem (specification) P. **Find:** An algorithm A for P.

Overall Strategy of Lazy Thinking: (Automatically) reduce problem P to a couple of (hopefully simpler) problems Q, R, ...

until ...

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24 of 50

Two Key Ideas of Lazy Thinking

Given: A problem (specification) P. **Find:** An algorithm A for P.

- ♣ (Understand the problem "completely": Specification P must be spelled out and "complete" knowledge must be available on the notions that occur in the specification P.)
- ♣ Consider known fundamental ideas of how to structure algorithms in terms of subalgorithms ("algorithm schemes A").

Try one scheme A after the other.

- ♣ For the chosen scheme A, try to prove $\forall_x P[x, A[x]]$: From the **failing proof construct specifications** for the subalgorithms B occurring in A.

Example of an Algorithm Scheme ("Divide and Conquer"):

$$\forall_x \left(A[x] = \begin{cases} S[x] & \Leftarrow \text{is-trivial-tuple}[x] \\ M[A[L[x]], A[R[x]]] & \Leftarrow \text{otherwise} \end{cases} \right)$$

A is **unknown algorithm**.

S, M, L, R are **unknown subalgorithms**.

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25 of 50

Literature

There is a rich literature on algorithm synthesis methods, see survey [Basin et al. 2004] D. Basin, Y. Deville, P. Flener, A. Hamfelt, J. F. Nilsson. Synthesis of Programs in Computational Logic. In: M. Bruynooghe, K. K. Lau (eds.), Program Development in Computational Logic, Lecture Notes in Computer Science, Vol. 3049, Springer, 2004, pp. 30-65.

My method is in the class of "scheme-based" methods. Closest (but essentially different):

[Lau et al. 1999] K. K. Lau, M. Ornaghi, S. Tärnlund. Steadfast logic programs. Journal of Logic Programming, 38/3, 1999, pp. 259-294.

And the work of A. Bundy and his group (U of Edinburgh) on the automated invention of induction schemes.

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26 of 50

Example: Synthesis of Merge-Sort [BB et al. 2003]

Problem: Synthesize algorithm "sorted" such that

$$\forall_x \text{is-sorted-version}[x, \text{sorted}[x]].$$

("Correctness Theorem")

Knowledge on the Problem:

$$\forall_{x,y} \left(\text{is-sorted-version}[x, y] \Leftrightarrow \begin{array}{l} \text{is-sorted}[y] \\ \text{is-permuted-version}[x, y] \end{array} \right)$$

$$\text{is-sorted}[\langle \rangle]$$

$$\forall_x \text{is-sorted}[\langle x \rangle]$$

$$\forall_{x,y,\bar{z}} \left(\text{is-sorted}[\langle x, y, \bar{z} \rangle] \Leftrightarrow \begin{array}{l} x \geq y \\ \text{is-sorted}[\langle y, \bar{z} \rangle] \end{array} \right)$$

etc. (approx. 20 formulae, see notebook of proofs in the Appendix.)

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27 of 50

An Algorithm Scheme: Divide and Conquer

$$\forall_x \left(A[x] = \begin{cases} S[x] & \Leftrightarrow \text{is-trivial-tuple}[x] \\ M[A[L[x]], A[R[x]]] & \Leftrightarrow \text{otherwise} \end{cases} \right)$$

sorted is unknown algorithm.

S, M, L, R are unknown subalgorithms.

The only thing **known** is how the unknown algorithm sorted is composed from the unknown algorithms S, M, L, R.

We now start an (automated) induction prover for proving the correctness theorem and analyze the failing proof: see notebooks with failing proofs.

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28 of 50

Automated Invention of Sufficient Specifications for the Subalgorithms

A simple (but amazingly powerful) **rule** (**m** ... an unknown subalgorithm):

Collect temporary assumptions $T[x_0, \dots, A[\dots], \dots]$
 and temporary goals $G[x_0, \dots, m[A[\dots]]]$
 and produces specification

$$\forall_{x, \dots, y, \dots} (T[x, \dots, Y, \dots] \Rightarrow G[x, \dots, m[Y]]).$$

Details: see papers [Buchberger 2003] and example (in appendix).

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29 of 50

The Result of Applying Lazy Thinking in the Sorting Example

Lazy Thinking, **automatically** (in approx. 1 minute on a laptop using the *Theorema* system), finds the following specifications for the sub-algorithms that provenly guarantee the correctness of the above algorithm (scheme):

$$\forall_x (\text{is-trivial-tuple}[x] \Rightarrow S[x] = x)$$

$$\forall_{y,z} \left(\begin{array}{l} \text{is-sorted}[y] \\ \text{is-sorted}[z] \end{array} \Rightarrow \begin{array}{l} \text{is-sorted}[M[y, z]] \\ M[y, z] \approx (y \preceq z) \end{array} \right)$$

$$\forall_x (L[x] \preceq R[x] \approx x)$$

Note: the specifications generated are not only sufficient but natural !

What do we have now: A problem reduction !

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30 of XXX

Example: Synthesis of Insertion-Sort

Synthesize A such that

$$\forall_{\mathbf{x}} \text{is-sorted-version}[\mathbf{x}, A[\mathbf{x}]].$$

Algorithm Scheme: "simple recursion"

$$\begin{aligned} A[\langle \rangle] &= \mathbf{c} \\ \forall_{\mathbf{x}} A[\langle \mathbf{x} \rangle] &= \mathbf{s}[\langle \mathbf{x} \rangle] \\ \forall_{\mathbf{x}, \bar{\mathbf{y}}} (A[\langle \mathbf{x}, \bar{\mathbf{y}} \rangle] &= \mathbf{i}[\mathbf{x}, A[\langle \bar{\mathbf{y}} \rangle]]) \end{aligned}$$

Lazy Thinking, [automatically](#) (in approx. 2 minutes on a laptop using the *Theorema* system), finds the following specifications for the auxiliary functions

$$\begin{aligned} \mathbf{c} &= \langle \rangle \\ \forall_{\mathbf{x}} (\mathbf{s}[\langle \mathbf{x} \rangle] &= \langle \mathbf{x} \rangle) \\ \forall_{\mathbf{x}, \bar{\mathbf{y}}} \left(\text{is-sorted}[\langle \bar{\mathbf{y}} \rangle] \Rightarrow \right. & \left. \begin{aligned} &\text{is-sorted}[\mathbf{i}[\mathbf{x}, \langle \bar{\mathbf{y}} \rangle]] \\ &\mathbf{i}[\langle \mathbf{x}, \bar{\mathbf{y}} \rangle] \approx (\mathbf{x} \sim \langle \bar{\mathbf{y}} \rangle) \end{aligned} \right) \end{aligned}$$

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31 of 50

A Non-Trivial Algorithm: Gröbner-Bases

"Lazy Thinking"

Synthesis of Gröbner-Bases Algorithm



32 of 50

How Far Can We Go With the "Lazy Thinking" Method ?

Can we automatically synthesize algorithms for [non-trivial problems](#)? What is "non-trivial"?

Example of a non-trivial problem (?): construction of Gröbner bases.

"Non-trivial" part of the invention: The [invention](#) of the notion of [S-polynomial](#) and the characterization of Gröbner-bases by finitely many S-polynomial checks.

With the "Lazy Thinking" method, it is possible to invent the essential idea of Buchberger's Gröbner bases algorithm (1965) fully automatically: See [Buchberger 2005, Craciun 2008].



33 of 50

The Problem of Constructing Gröbner Bases

Find algorithm [Gb](#) such that

$$\forall \text{is-finite}[F] \left(\begin{array}{l} \text{is-finite}[G_b[F]] \\ \text{is-Gröbner-basis}[G_b[F]] \\ \text{ideal}[F] = \text{ideal}[G_b[F]]. \end{array} \right)$$

$$\text{is-Gröbner-basis}[G] \Leftrightarrow \text{is-confluent}[\rightarrow_G].$$

\rightarrow_G ... a division step.

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34 of XXX

Confluence of Division \rightarrow_G

$$\text{is-confluent}[\rightarrow] : \Leftrightarrow \forall_{f_1, f_2} (f_1 \leftrightarrow^* f_2 \Rightarrow f_1 \downarrow^* f_2)$$

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35 of 50

Knowledge on the Concepts Involved

$$h_1 \rightarrow_G h_2 \Rightarrow p \cdot h_1 \rightarrow_G p \cdot h_2$$

etc.



36 of 50

Algorithm Scheme "Critical Pair / Completion"

```

A[F] = A[F, pairs[F]]
A[F, ⟨⟩] = F

A[F, ⟨⟨g1, g2⟩, p̄⟩] =
  where [ f = lc[g1, g2], h1 = trd[rd[f, g1], F], h2 = trd[rd[f, g2], F],
        { A[F, ⟨p̄⟩]                                     ⇐ h1 = h2
          A[F - df[h1, h2], ⟨p̄⟩ ≈ ⟨⟨Fk, df[h1, h2]⟩k=1,...,|F|⟩ ] ⇐ otherwise } ]

```

This scheme can be tried in any domain, in which we have a reduction operation rd that depends on sets F of objects and a Noetherian relation $>$ which interacts with rd in the following natural way:

$$\forall_{f,g} (f > rd[f, g]).$$


37 of 50

The Essential Problem

The problem of synthesizing a Gröbner bases algorithm can now be also stated by asking whether starting with the proof of

$$\forall_F \left(\begin{array}{l} \text{is-finite}[A[F]] \\ \text{is-Gröbner-basis}[A[F]] \\ \text{ideal}[F] = \text{ideal}[A[F]]. \end{array} \right)$$

using the above scheme for A we can *automatically produce the idea* that

$$lc[g1, g2] = lcm[lp[g1], lp[g2]]$$

and

```
df[h1, h2] = h1 - h2
```

and prove that the idea is correct.

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38 of 50

Now Start the (Automated) Correctness Proof

With current theorem proving technology, in the *Theorema* system (and other provers), the proof attempt can be done automatically.

(PhD thesis 2008 by my student A. Craciun.)

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39 of 50

Details

It should be clear that, if the algorithm terminates, the final result is a finite set (of polynomials) G that has the property

$$\forall_{g1, g2 \in G} \left(\text{where } [f = \text{lc}[g1, g2], h1 = \text{trd}[\text{rd}[f, g1], F], \right. \\ \left. h2 = \text{trd}[\text{rd}[f, g2], F], \bigvee \left\{ \begin{array}{l} h1 = h2 \\ \text{df}[h1, h2] \in G \end{array} \right\} \right).$$

We now try to prove that, if G has this property, then

```
is-finite[G],
ideal[F] = ideal[G],
is-Gröbner-basis[G],
  i.e. is-Church-Rosser[→G].
```

Here, we only deal with the third, most important, property.

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40 of 50

Using Available Knowledge

Using Newman's lemma and some elementary properties it can be shown that it is sufficient to prove

$$\text{is-Church-Rosser}[\rightarrow_G] \Leftrightarrow \forall_p \forall_{f_1, f_2} \left(\left(\begin{array}{l} p \rightarrow f_1 \\ p \rightarrow f_2 \end{array} \right) \Rightarrow f_1 \downarrow^* f_2 \right).$$

Newman's lemma (1942):

$$\text{is-Church-Rosser}[\rightarrow] \Leftrightarrow \forall_{f, f_1, f_2} \left(\left(\begin{array}{l} f \rightarrow f_1 \\ f \rightarrow f_2 \end{array} \right) \Rightarrow f_1 \downarrow^* f_2 \right).$$

Definition of "f1 and f2 have a common successor":

$$f_1 \downarrow^* f_2 \Leftrightarrow \exists_g \left(\begin{array}{l} f_1 \rightarrow^* g \\ f_2 \rightarrow^* g \end{array} \right)$$

41 of 50

The (Automated) Proof Attempt

Let now the power product p and the polynomials f_1, f_2 be arbitrary but fixed and assume

$$\begin{cases} p \rightarrow_G f_1 \\ p \rightarrow_G f_2. \end{cases}$$

We have to find a polynomial g such that

$$\begin{cases} f_1 \rightarrow_G^* g, \\ f_2 \rightarrow_G^* g. \end{cases}$$

From the assumption we know that there exist polynomials g_1 and g_2 in G such that

$$\begin{aligned} &lp[g_1] \mid p, \\ &f_1 = rd[p, g_1], \\ &lp[g_2] \mid p, \\ &f_2 = rd[p, g_2]. \end{aligned}$$

From the final situation in the algorithm scheme we know that for these g_1 and g_2

$$\bigvee \begin{cases} h1 = h2 \\ df[h1, h2] \in G, \end{cases}$$

where

$$\begin{aligned} h1 &:= \text{trd}[f1', G], f1' := \text{rd}[\text{lc}[g1, g2], g1], \\ h2 &:= \text{trd}[f2', G], f2' := \text{rd}[\text{lc}[g1, g2], g2]. \end{aligned}$$

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42 of 50

Case h1=h2

$$\text{lc}[g1, g2] \rightarrow_{g1} \text{rd}[\text{lc}[g1, g2], g1] \rightarrow_G^* \text{trd}[\text{rd}[\text{lc}[g1, g2], g1], G] = \text{trd}[\text{rd}[\text{lc}[g1, g2], g2], G] \leftarrow_G^* \text{rd}[\text{lc}[g1, g2], g2] \leftarrow_{g2} \text{lc}[g1, g2].$$

(Note that here we used the requirements $\text{rd}[\text{lc}[g1, g2], g1] < \text{lc}[g1, g2]$ and $\text{rd}[\text{lc}[g1, g2], g2] < \text{lc}[g1, g2]$.)

Hence, by elementary properties of polynomial reduction,

$$\begin{aligned} \forall_{a, q} (a \ q \ \text{lc}[g1, g2] \rightarrow_{g1} \\ a \ q \ \text{rd}[\text{lc}[g1, g2], g1] \rightarrow_G^* a \ q \ \text{trd}[\text{rd}[\text{lc}[g1, g2], g1], G] = \\ a \ q \ \text{trd}[\text{rd}[\text{lc}[g1, g2], g2], G] \leftarrow_G^* a \ q \ \text{rd}[\text{lc}[g1, g2], g2] \leftarrow_{g2} \\ a \ q \ \text{lc}[g1, g2]). \end{aligned}$$

Now we are stuck in the proof.

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43 of 50

Now Use the Specification Generation Algorithm

Using the above specification generation rule, we see that we could proceed successfully with the proof if $\text{lc}[g1, g2]$ satisfied the following requirement

$$\forall_{p, g1, g2} \left(\left(\left\{ \begin{array}{l} \text{lp}[g1] \\ \text{lp}[g2] \end{array} \middle| p \right. \right) \Rightarrow \left(\exists_{a, q} (p = a \ q \ \text{lc}[g1, g2]) \right) \right), \quad (\text{lc requirement})$$

With such an lc, we then would have

$$\begin{aligned}
 p \rightarrow_{g_1} \text{rd}[p, g_1] = \\
 a \text{ q rd}[\text{lc}[g_1, g_2], g_1] \rightarrow_G^* a \text{ q trd}[\text{rd}[\text{lc}[g_1, g_2], g_1], G] = \\
 a \text{ q trd}[\text{rd}[\text{lc}[g_1, g_2], g_2], G] \leftarrow_G^* a \text{ q rd}[\text{lc}[g_1, g_2], g_2] = \\
 \text{rd}[p, g_2] \leftarrow_{g_2} p
 \end{aligned}$$

and, hence,

$$f_1 \rightarrow_G^* a \text{ q trd}[\text{rd}[\text{lc}[g_1, g_2], g_1], G],$$

$$f_2 \rightarrow_G^* a \text{ q trd}[\text{rd}[\text{lc}[g_1, g_2], g_1], G],$$

i.e. we would have found a suitable g .

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44 of 50

Summarize the (Automatically Generated) Specifications of the Subalgorithm lc

Using the above specification generation rule, we see that we could proceed successfully with the proof if $\text{lc}[g_1, g_2]$ satisfied the following requirement

$$\forall_{p, g_1, g_2} \left(\left(\left\{ \begin{array}{l} \text{lp}[g_1] \mid p \\ \text{lp}[g_2] \mid p \end{array} \right\} \right) \Rightarrow (\text{lc}[g_1, g_2] \mid p) \right),$$

and the requirements:

$$\begin{aligned}
 \text{lp}[g_1] \mid \text{lc}[g_1, g_2], \\
 \text{lp}[g_2] \mid \text{lc}[g_1, g_2].
 \end{aligned}$$

Now this problem can be attacked independently of any Gröbner bases theory, ideal theory etc.

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45 of 50

A Suitable lc

$$lcp[g1, g2] = lcm[lp[g1], lp[g2]]$$

is a suitable function that satisfies the above requirements.

Eureka! The crucial function lc (the "critical pair" function) in the critical pair / completion algorithm scheme has been synthesized automatically!

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46 of 50

Case $h1 \neq h2$

In this case, $df[h1, h2] \in G$:

In this part of the proof we are basically stuck right at the beginning.

We can try to reduce this case to the first case, which would generate the following requirement

$$\forall_{h1, h2} (h1 \downarrow_{\{df[h1, h2]\}} * h2) \text{ (df requirement) .}$$

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47 of 50

Looking to the Knowledge Base for a Suitable df

(Looking to the knowledge base of elementary properties of polynomial reduction, it is now easy to find a function df that satisfies (df requirement), namely

$$df[h1, h2] = h1 - h2,$$

because, in fact,

$$\forall_{f, g} (f \downarrow_{\{f-g\}} * g) .$$

Eureka! The function df (the "completion" function) in the critical pair / completion algorithm scheme has been "automatically" synthesized!



Conclusion

Automation of mathematical reasoning ("formal methods") is in the center of the technology spiral:



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50 of 50

Appendix: The Proofs Generated During the Automated Synthesis of the Merge-Sort Algorithm

■ First Proof Attempt

Prove:

(Theorem (correctness of sort)) $\forall_{\text{is-tuple}[\mathbf{x}]} \text{is-sorted-version}[\mathbf{x}, \text{sorted}[\mathbf{x}]],$

under the assumptions:

(Definition (is sorted): 1) $\text{is-sorted}[\langle \rangle],$

(Definition (is sorted): 2) $\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle],$

(Definition (is sorted): 3) $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} (\text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \mathbf{x} \geq \mathbf{y} \wedge \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle]),$

(Definition (is permuted version): 1) $\langle \rangle \approx \langle \rangle,$

(Definition (is permuted version): 2) $\forall_{\mathbf{y}, \bar{\mathbf{y}}} (\langle \rangle \neq \langle \mathbf{y}, \bar{\mathbf{y}} \rangle),$

(Definition (is permuted version): 3) $\forall_{\mathbf{x}, \bar{\mathbf{x}}, \bar{\mathbf{y}}} (\langle \bar{\mathbf{y}} \rangle \approx \langle \mathbf{x}, \bar{\mathbf{x}} \rangle \Leftrightarrow \mathbf{x} \in \langle \bar{\mathbf{y}} \rangle \wedge \text{dfo}[\mathbf{x}, \langle \bar{\mathbf{y}} \rangle] \approx \langle \bar{\mathbf{x}} \rangle),$

(Definition (is sorted version))

$\forall_{\text{is-tuple}[\mathbf{x}]} \forall_{\mathbf{x}, \mathbf{y}} (\text{is-sorted-version}[\mathbf{x}, \mathbf{y}] \Leftrightarrow \text{is-tuple}[\mathbf{y}] \wedge \mathbf{x} \approx \mathbf{y} \wedge \text{is-sorted}[\mathbf{y}]),$

(Proposition (is tuple tuple)) $\forall_{\bar{\mathbf{x}}} \text{is-tuple}[\langle \bar{\mathbf{x}} \rangle],$

(Definition (prepend): \neg) $\forall_{\mathbf{x}, \bar{\mathbf{y}}} (\mathbf{x} - \langle \bar{\mathbf{y}} \rangle = \langle \mathbf{x}, \bar{\mathbf{y}} \rangle),$

(Proposition (singleton tuple is singleton tuple)) $\forall_{\mathbf{x}} \text{is-singleton-tuple}[\langle \mathbf{x} \rangle],$

(Definition (is trivial tuple))

$\forall_{\text{is-tuple}[\mathbf{x}]} (\text{is-trivial-tuple}[\mathbf{x}] \Leftrightarrow \text{is-empty-tuple}[\mathbf{x}] \vee \text{is-singleton-tuple}[\mathbf{x}]),$

(Definition (is element): 1) $\forall_{\mathbf{x}} (\mathbf{x} \notin \langle \rangle),$

(Definition (is element): 2) $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{y}}} (\mathbf{x} \in \langle \mathbf{y}, \bar{\mathbf{y}} \rangle \Leftrightarrow (\mathbf{x} = \mathbf{y}) \vee \mathbf{x} \in \langle \bar{\mathbf{y}} \rangle),$

(Definition (deletion of the first occurrence): 1) $\forall_a (\text{dfo}[a, \langle \rangle] = \langle \rangle)$,

(Definition (deletion of the first occurrence): 2)

$\forall_{a,x,\bar{x}} (\text{dfo}[a, \langle x, \bar{x} \rangle] = \|\langle \bar{x} \rangle \Leftarrow x = a, x - \text{dfo}[a, \langle \bar{x} \rangle] \Leftarrow \text{otherwise}\|)$,

(Definition (is longer than): 1) $\forall_{\bar{y}} (\langle \rangle * \langle \bar{y} \rangle)$,

(Definition (is longer than): 2) $\forall_{x,\bar{x}} (\langle x, \bar{x} \rangle > \langle \rangle)$,

(Definition (is longer than): 3) $\forall_{x,\bar{x},y,\bar{y}} (\langle x, \bar{x} \rangle > \langle y, \bar{y} \rangle \Leftrightarrow \langle \bar{x} \rangle > \langle \bar{y} \rangle)$,

(Proposition (trivial tuples are sorted)) $\forall_{\bar{x}} \text{is-trivial-tuple}[\bar{x}] \Rightarrow \text{is-sorted}[\bar{x}]$,

(Proposition (only trivial tuple permuted version of itself)) $\forall_{\bar{x},y} (\text{is-trivial-tuple}[\bar{x}] \wedge (y = \langle \bar{x} \rangle) \Rightarrow y \approx \langle \bar{x} \rangle)$,

(Proposition (reflexivity of permuted version)) $\forall_{\bar{x}} (\langle \bar{x} \rangle \approx \langle \bar{x} \rangle)$,

(Algorithm (sorted))

$\forall_{\text{is-tuple}[X]} (\text{sorted}[X] = \|\text{special}[X] \Leftarrow \text{is-trivial-tuple}[X], \text{merged}[\text{sorted}[\text{left-split}[X]], \text{sorted}[\text{right-split}[X]]] \Leftarrow \text{otherwise}\|)$,

(Lemma (closure of special)) $\forall_x \text{is-tuple}[\text{special}[x]] \wedge \text{is-trivial-tuple}[x]$,

(Lemma (splits are tuples): 1) $\forall_x \text{is-tuple}[\text{left-split}[x]] \wedge \text{is-trivial-tuple}[x]$,

(Lemma (splits are tuples): 2) $\forall_x \text{is-tuple}[\text{right-split}[x]] \wedge \text{is-trivial-tuple}[x]$,

(Lemma (splits are shorter): 1) $\forall_{\text{is-tuple}[X]} (\text{is-trivial-tuple}[X] \Rightarrow X > \text{left-split}[X])$,

(Lemma (splits are shorter): 2) $\forall_{\text{is-tuple}[X]} (\text{is-trivial-tuple}[X] \Rightarrow X > \text{right-split}[X])$,

(Lemma (closure of merge)) $\forall_{\text{is-tuple}[X], \text{is-tuple}[Y]} \text{is-tuple}[\text{merged}[X, Y]]$.

We try to prove (Theorem (correctness of sort)) by well-founded induction on X .

Well-founded induction:

Assume:

(1) $\text{is-tuple}[\langle \bar{X}_0 \rangle]$.

Well-Founded Induction Hypothesis:

$$(2) \quad \forall_{\text{is-tuple}[\mathbf{x1}]} (\langle \overline{X_0} \rangle > \mathbf{x1} \Rightarrow \text{is-sorted-version}[\mathbf{x1}, \text{sorted}[\mathbf{x1}]])$$

We have to show:

$$(3) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{sorted}[\langle \overline{X_0} \rangle]].$$

We try to prove (3) by case distinction using (Algorithm (sorted)). However, the proof fails in at least one of the cases.

Case 1:

$$(4) \text{is-trivial-tuple}[\langle \overline{X_0} \rangle].$$

Hence, we have to prove

$$(5) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{special}[\langle \overline{X_0} \rangle]].$$

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

$$(10) \forall_{\mathbf{y}} ((\mathbf{y} = \langle \overline{X_0} \rangle) \Rightarrow \mathbf{y} \approx \langle \overline{X_0} \rangle).$$

Formula (1), by ([Lemma \(Closure of Special\)](#)), implies:

$$(12) \text{is-tuple}[\text{special}[\langle \overline{X_0} \rangle]].$$

By (1), Formula (5), using (Definition (is sorted version)), is implied by:

$$(13) \text{is-tuple}[\text{special}[\langle \overline{X_0} \rangle]] \wedge \text{special}[\langle \overline{X_0} \rangle] \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted}[\text{special}[\langle \overline{X_0} \rangle]].$$

Not all the conjunctive parts of (13) can be proved.

Proof of (13.1) $\text{is-tuple}[\text{special}[\langle \overline{X_0} \rangle]]$:

Formula (13.1) is true because it is identical to (12).

Proof of (13.2) $\text{special}[\langle \overline{X_0} \rangle] \approx \langle \overline{X_0} \rangle$:

Formula (13.3), using (10), is implied by:

$$(14) \text{special}[\langle \overline{X_0} \rangle] = \langle \overline{X_0} \rangle.$$

The proof of (14) fails. (The prover "QR" was unable to transform the proof situation.)

Proof of (13.4) $\text{is-sorted}[\text{special}[\langle \overline{X_0} \rangle]]$:

Pending proof of (13.4).

Case 2:

$$(6) \neg \text{is-trivial-tuple}[\langle \overline{X_0} \rangle].$$

Hence, we have to prove

$$(8) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]].$$

Pending proof of (8).

□

Second Proof Attempt (with Specifications of Subalgorithms Extractd from First Proof Attempt)

Prove:

(Theorem (correctness of sort)) $\forall_{\text{is-tuple}[\mathbf{X}]} \text{is-sorted-version}[\mathbf{X}, \text{sorted}[\mathbf{X}]],$

under the assumptions:

(Definition (is sorted): 1) $\text{is-sorted}[\langle \rangle],$

(Definition (is sorted): 2) $\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle],$

(Definition (is sorted): 3) $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} (\text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \mathbf{x} \geq \mathbf{y} \wedge \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle]),$

(Definition (is permuted version): 1) $\langle \rangle \approx \langle \rangle,$

(Definition (is permuted version): 2) $\forall_{\mathbf{y}, \bar{\mathbf{y}}} (\langle \rangle \neq \langle \mathbf{y}, \bar{\mathbf{y}} \rangle),$

(Definition (is permuted version): 3) $\forall_{\mathbf{x}, \bar{\mathbf{x}}, \bar{\mathbf{y}}} (\langle \bar{\mathbf{y}} \rangle \approx \langle \mathbf{x}, \bar{\mathbf{x}} \rangle \Leftrightarrow \mathbf{x} \in \langle \bar{\mathbf{y}} \rangle \wedge \text{dfo}[\mathbf{x}, \langle \bar{\mathbf{y}} \rangle] \approx \langle \bar{\mathbf{x}} \rangle),$

(Definition (is sorted version))

$\forall_{\mathbf{x}, \mathbf{y}} (\text{is-sorted-version}[\mathbf{X}, \mathbf{Y}] \Leftrightarrow \text{is-tuple}[\mathbf{Y}] \wedge \mathbf{X} \approx \mathbf{Y} \wedge \text{is-sorted}[\mathbf{Y}]),$
 $\text{is-tuple}[\mathbf{X}]$

(Proposition (is tuple tuple)) $\forall_{\bar{\mathbf{x}}} \text{is-tuple}[\langle \bar{\mathbf{x}} \rangle],$

(Definition (prepend): \neg) $\forall_{\mathbf{x}, \bar{\mathbf{y}}} (\mathbf{x} - \langle \bar{\mathbf{y}} \rangle = \langle \mathbf{x}, \bar{\mathbf{y}} \rangle),$

(Proposition (singleton tuple is singleton tuple)) $\forall_{\mathbf{x}} \text{is-singleton-tuple}[\langle \mathbf{x} \rangle],$

(Definition (is trivial tuple))

$\forall_{\text{is-tuple}[\mathbf{X}]} (\text{is-trivial-tuple}[\mathbf{X}] \Leftrightarrow \text{is-empty-tuple}[\mathbf{X}] \vee \text{is-singleton-tuple}[\mathbf{X}]),$

(Definition (is element): 1) $\forall_{\mathbf{x}} (\mathbf{x} \notin \langle \rangle),$

(Definition (is element): 2) $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{y}}} (\mathbf{x} \in \langle \mathbf{y}, \bar{\mathbf{y}} \rangle \Leftrightarrow (\mathbf{x} = \mathbf{y}) \vee \mathbf{x} \in \langle \bar{\mathbf{y}} \rangle),$

(Definition (deletion of the first occurrence): 1) $\forall_{\mathbf{a}} (\text{dfo}[\mathbf{a}, \langle \rangle] = \langle \rangle),$

(Definition (deletion of the first occurrence): 2)

$\forall_{\mathbf{a}, \mathbf{x}, \bar{\mathbf{x}}} (\text{dfo}[\mathbf{a}, \langle \mathbf{x}, \bar{\mathbf{x}} \rangle] = \|\langle \bar{\mathbf{x}} \rangle \Leftrightarrow \mathbf{x} = \mathbf{a}, \mathbf{x} - \text{dfo}[\mathbf{a}, \langle \bar{\mathbf{x}} \rangle] \Leftrightarrow \text{otherwise}\|),$

(Definition (is longer than): 1) $\forall_{\bar{\mathbf{y}}} (\langle \rangle \not> \langle \bar{\mathbf{y}} \rangle),$

(Definition (is longer than): 2) $\forall_{\mathbf{x}, \bar{\mathbf{x}}} (\langle \mathbf{x}, \bar{\mathbf{x}} \rangle > \langle \rangle),$

(Definition (is longer than): 3) $\forall_{\mathbf{x}, \bar{\mathbf{x}}, \mathbf{y}, \bar{\mathbf{y}}} (\langle \mathbf{x}, \bar{\mathbf{x}} \rangle > \langle \mathbf{y}, \bar{\mathbf{y}} \rangle \Leftrightarrow \langle \bar{\mathbf{x}} \rangle > \langle \bar{\mathbf{y}} \rangle),$

(Proposition (trivial tuples are sorted)) $\forall_{\bar{\mathbf{x}}} \text{is-trivial-tuple}[\langle \bar{\mathbf{x}} \rangle] \Rightarrow \text{is-sorted}[\langle \bar{\mathbf{x}} \rangle],$

(Proposition (only trivial tuple permuted version of itself)) $\forall_{\bar{\mathbf{x}}, \mathbf{y}} (\text{is-trivial-tuple}[\langle \bar{\mathbf{x}} \rangle] \wedge \langle \mathbf{y} \rangle = \langle \bar{\mathbf{x}} \rangle \Rightarrow \mathbf{y} \approx \langle \bar{\mathbf{x}} \rangle),$

(Proposition (reflexivity of permuted version)) $\forall_{\bar{\mathbf{x}}} (\langle \bar{\mathbf{x}} \rangle \approx \langle \bar{\mathbf{x}} \rangle),$

(Algorithm (sorted))

$\forall_{\mathbf{x}} \text{is-tuple}[\mathbf{x}] \Rightarrow (\text{sorted}[\mathbf{x}] = \|\text{special}[\mathbf{x}] \Leftarrow \text{is-trivial-tuple}[\mathbf{x}], \text{merged}[\text{sorted}[\text{left-split}[\mathbf{x}]], \text{sorted}[\text{right-split}[\mathbf{x}]]] \Leftarrow \text{otherwise}\|),$

(Lemma (closure of special)) $\forall_{\mathbf{x}} \text{is-tuple}[\text{special}[\mathbf{x}]],$
 $\text{is-tuple}[\mathbf{x}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x}] \Rightarrow \text{special}[\mathbf{x}] \neq \mathbf{x}$

(Lemma (splits are tuples): 1) $\forall_{\mathbf{x}} \text{is-tuple}[\text{left-split}[\mathbf{x}]],$
 $\text{is-tuple}[\mathbf{x}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x}] \Rightarrow \text{left-split}[\mathbf{x}] \neq \mathbf{x}$

(Lemma (splits are tuples): 2) $\forall_{\mathbf{x}} \text{is-tuple}[\text{right-split}[\mathbf{x}]],$
 $\text{is-tuple}[\mathbf{x}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x}] \Rightarrow \text{right-split}[\mathbf{x}] \neq \mathbf{x}$

(Lemma (splits are shorter): 1) $\forall_{\mathbf{x}} (\text{is-tuple}[\mathbf{x}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x}] \Rightarrow \text{left-split}[\mathbf{x}] < \mathbf{x}),$

(Lemma (splits are shorter): 2) $\forall_{\mathbf{x}} (\text{is-tuple}[\mathbf{x}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x}] \Rightarrow \text{right-split}[\mathbf{x}] < \mathbf{x}),$

(Lemma (closure of merge)) $\forall_{\mathbf{x}, \mathbf{y}} (\text{is-tuple}[\mathbf{x}] \wedge \text{is-tuple}[\mathbf{y}] \Rightarrow \text{is-tuple}[\text{merged}[\mathbf{x}, \mathbf{y}]]),$

(Lemma (conjecture15): conjecture15)

$\forall_{\mathbf{x1}} \text{is-tuple}[\mathbf{x1}] \Rightarrow (\text{is-trivial-tuple}[\mathbf{x1}] \Rightarrow (\text{special}[\mathbf{x1}] = \mathbf{x1})).$

We try to prove (Theorem (correctness of sort)) by applying several proof methods for sequences.

We try to prove (Theorem (correctness of sort)) by well-founded induction on \mathbf{X} .

Well-founded induction:

Assume:

(1) $\text{is-tuple}[\langle \bar{\mathbf{X}}_0 \rangle].$

Well-Founded Induction Hypothesis:

(2) $\forall_{\mathbf{x2}} (\text{is-tuple}[\mathbf{x2}] \wedge \langle \bar{\mathbf{X}}_0 \rangle > \mathbf{x2} \Rightarrow \text{is-sorted-version}[\mathbf{x2}, \text{sorted}[\mathbf{x2}]]).$

We have to show:

(3) `is-sorted-version` [$\langle \overline{X_0} \rangle$, `sorted` [$\langle \overline{X_0} \rangle$]].

We try to prove (3) by case distinction using (Algorithm (sorted)). However, the proof fails in at least one of the cases.

Case 1:

(4) `is-trivial-tuple` [$\langle \overline{X_0} \rangle$].

Hence, we have to prove

(5) `is-sorted-version` [$\langle \overline{X_0} \rangle$, `special` [$\langle \overline{X_0} \rangle$]].

Formula (4), by (Proposition (trivial tuples are sorted)), implies:

(9) `is-sorted` [$\langle \overline{X_0} \rangle$].

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

(10) $\forall_{\mathbf{Y}} \left((\mathbf{Y} = \langle \overline{X_0} \rangle) \Rightarrow \mathbf{Y} \approx \langle \overline{X_0} \rangle \right)$.

Formula (1) and (4), by (Lemma (closure of special)), implies:

(11) `is-tuple` [`special` [$\langle \overline{X_0} \rangle$]].

Formula (1) and (4), by (Lemma (conjecture15): conjecture15), implies:

(13) `special` [$\langle \overline{X_0} \rangle$] = $\langle \overline{X_0} \rangle$.

Formula (5), using (13), is implied by:

(21) `is-sorted-version` [$\langle \overline{X_0} \rangle$, $\langle \overline{X_0} \rangle$].

Formula (21), using (Definition (is sorted version)), is implied by:

(22) `is-tuple` [$\langle \overline{X_0} \rangle$] \wedge $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle \wedge$ `is-sorted` [$\langle \overline{X_0} \rangle$].

We prove the individual conjunctive parts of (22):

Proof of (22.1) `is-tuple` [$\langle \overline{X_0} \rangle$]:

Formula (22.1) is true because it is identical to (1).

Proof of (22.2) $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle$:

Formula (22.2) is true by (10).

Proof of (22.3) `is-sorted` [$\langle \overline{X_0} \rangle$]:

Formula (22.3) is true because it is identical to (9).

Case 2:

(6) \neg `is-trivial-tuple` [$\langle \overline{X_0} \rangle$].

Hence, we have to prove

(8) `is-sorted-version` [$\langle \overline{X_0} \rangle$,
`merged` [`sorted` [`left-split` [$\langle \overline{X_0} \rangle$]], `sorted` [`right-split` [$\langle \overline{X_0} \rangle$]]]]].

From (6), by (2), (Lemma (splits are tuples): 1), (Lemma (splits are tuples): 2), (Lemma (splits are shorter): 1), (Lemma (splits are shorter): 1) and (Lemma (splits are shorter): 2), we obtain:

(23) `is-sorted-version` [`left-split` [$\langle \overline{X_0} \rangle$], `sorted` [`left-split` [$\langle \overline{X_0} \rangle$]]]],

(24) $\text{is-sorted-version}[\text{right-split}[\langle \overline{X_0} \rangle], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]],$

From (23), by (Definition (is sorted version)), we obtain:

(25) $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge$
 $\text{left-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]] \wedge$
 $\text{is-sorted}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$

From (24), by (Definition (is sorted version)), we obtain:

(26) $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge$
 $\text{right-split}[\langle \overline{X_0} \rangle] \approx \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \wedge$
 $\text{is-sorted}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$

From (1) and (8), using (Definition (is sorted version)), is implied by:

(41) $\text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]] \wedge$
 $\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \approx \langle \overline{X_0} \rangle \wedge$
 $\text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$

Not all the conjunctive parts of (41) can be proved.

Proof of (41.1) $\text{is-tuple}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$:

(41.1), by (Lemma (closure of merge)) is implied by:

(42) $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$.

We prove the individual conjunctive parts of (42):

Proof of (42.1) $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]]]$:

Formula (42.1) is true because it is identical to (25.1).

Proof of (42.2) $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$:

Formula (42.2) is true because it is identical to (26.1).

Proof of (41.3) $\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]] \approx \langle \overline{X_0} \rangle$:

The proof of (41.3) fails. (The prover "QR" was unable to transform the proof situation.)

Proof of (41.4)

$\text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \overline{X_0} \rangle]], \text{sorted}[\text{right-split}[\langle \overline{X_0} \rangle]]]$:

Pending proof of (41.4).

□

■ Third Proof Attempt (with Specifications of Subalgorithms Extractd from Second Proof Attempt)

Prove:

(Theorem (correctness of sort)) $\forall_{\text{is-tuple}[X]} \text{is-sorted-version}[X, \text{sorted}[X]],$

under the assumptions:

(Definition (is sorted): 1) $\text{is-sorted}[\langle \rangle]$,

(Definition (is sorted): 2) $\forall_{\mathbf{x}} \text{is-sorted}[\langle \mathbf{x} \rangle]$,

(Definition (is sorted): 3) $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{z}}} (\text{is-sorted}[\langle \mathbf{x}, \mathbf{y}, \bar{\mathbf{z}} \rangle] \Leftrightarrow \mathbf{x} \geq \mathbf{y} \wedge \text{is-sorted}[\langle \mathbf{y}, \bar{\mathbf{z}} \rangle])$,

(Definition (is permuted version): 1) $\langle \rangle \approx \langle \rangle$,

(Definition (is permuted version): 2) $\forall_{\mathbf{y}, \bar{\mathbf{y}}} (\langle \rangle \neq \langle \mathbf{y}, \bar{\mathbf{y}} \rangle)$,

(Definition (is permuted version): 3) $\forall_{\mathbf{x}, \bar{\mathbf{x}}, \bar{\mathbf{y}}} (\langle \bar{\mathbf{y}} \rangle \approx \langle \mathbf{x}, \bar{\mathbf{x}} \rangle \Leftrightarrow \mathbf{x} \in \langle \bar{\mathbf{y}} \rangle \wedge \text{dfo}[\mathbf{x}, \langle \bar{\mathbf{y}} \rangle] \approx \langle \bar{\mathbf{x}} \rangle)$,

(Definition (is sorted version))

$\forall_{\mathbf{x}, \mathbf{y}} (\text{is-sorted-version}[\mathbf{X}, \mathbf{Y}] \Leftrightarrow \text{is-tuple}[\mathbf{Y}] \wedge \mathbf{X} \approx \mathbf{Y} \wedge \text{is-sorted}[\mathbf{Y}])$,
 $\text{is-tuple}[\mathbf{X}]$

(Proposition (is tuple tuple)) $\forall_{\bar{\mathbf{x}}} \text{is-tuple}[\langle \bar{\mathbf{x}} \rangle]$,

(Definition (prepend): \neg) $\forall_{\mathbf{x}, \bar{\mathbf{y}}} (\mathbf{x} - \langle \bar{\mathbf{y}} \rangle = \langle \mathbf{x}, \bar{\mathbf{y}} \rangle)$,

(Proposition (singleton tuple is singleton tuple)) $\forall_{\mathbf{x}} \text{is-singleton-tuple}[\langle \mathbf{x} \rangle]$,

(Definition (is trivial tuple))

$\forall_{\text{is-tuple}[\mathbf{X}]} (\text{is-trivial-tuple}[\mathbf{X}] \Leftrightarrow \text{is-empty-tuple}[\mathbf{X}] \vee \text{is-singleton-tuple}[\mathbf{X}])$,

(Definition (is element): 1) $\forall_{\mathbf{x}} (\mathbf{x} \notin \langle \rangle)$,

(Definition (is element): 2) $\forall_{\mathbf{x}, \mathbf{y}, \bar{\mathbf{y}}} (\mathbf{x} \in \langle \mathbf{y}, \bar{\mathbf{y}} \rangle \Leftrightarrow (\mathbf{x} = \mathbf{y}) \vee \mathbf{x} \in \langle \bar{\mathbf{y}} \rangle)$,

(Definition (deletion of the first occurrence): 1) $\forall_{\mathbf{a}} (\text{dfo}[\mathbf{a}, \langle \rangle] = \langle \rangle)$,

(Definition (deletion of the first occurrence): 2)

$\forall_{\mathbf{a}, \mathbf{x}, \bar{\mathbf{x}}} (\text{dfo}[\mathbf{a}, \langle \mathbf{x}, \bar{\mathbf{x}} \rangle] = \|\langle \bar{\mathbf{x}} \rangle \Leftarrow \mathbf{x} = \mathbf{a}, \mathbf{x} - \text{dfo}[\mathbf{a}, \langle \bar{\mathbf{x}} \rangle] \Leftarrow \text{otherwise}\|)$,

(Definition (is longer than): 1) $\forall_{\bar{\mathbf{y}}} (\langle \rangle \not\star \langle \bar{\mathbf{y}} \rangle)$,

(Definition (is longer than): 2) $\forall_{\mathbf{x}, \bar{\mathbf{x}}} (\langle \mathbf{x}, \bar{\mathbf{x}} \rangle > \langle \rangle)$,

(Definition (is longer than): 3) $\forall_{\mathbf{x}, \bar{\mathbf{x}}, \mathbf{y}, \bar{\mathbf{y}}} (\langle \mathbf{x}, \bar{\mathbf{x}} \rangle > \langle \mathbf{y}, \bar{\mathbf{y}} \rangle \Leftrightarrow \langle \bar{\mathbf{x}} \rangle > \langle \bar{\mathbf{y}} \rangle)$,

(Proposition (trivial tuples are sorted)) $\forall_{\bar{\mathbf{x}}} \text{is-sorted}[\langle \bar{\mathbf{x}} \rangle]$,
 $\text{is-trivial-tuple}[\langle \bar{\mathbf{x}} \rangle]$

(Proposition (only trivial tuple permuted version of itself)) $\forall_{\bar{\mathbf{x}}, \mathbf{y}} (\langle \mathbf{y} = \langle \bar{\mathbf{x}} \rangle \rangle \Rightarrow \mathbf{y} \approx \langle \bar{\mathbf{x}} \rangle)$,
 $\text{is-trivial-tuple}[\langle \bar{\mathbf{x}} \rangle]$

(Proposition (reflexivity of permuted version)) $\forall_{\bar{\mathbf{x}}} (\langle \bar{\mathbf{x}} \rangle \approx \langle \bar{\mathbf{x}} \rangle)$,

(Algorithm (sorted))

$$\forall_{\text{is-tuple}[X]} (\text{sorted}[X] = \|\text{special}[X] \Leftarrow \text{is-trivial-tuple}[X], \text{merged}[\text{sorted}[\text{left-split}[X]], \text{sorted}[\text{right-split}[X]]] \Leftarrow \text{otherwise}\|),$$

(Lemma (closure of special)) $\forall_X \text{is-tuple}[\text{special}[X]],$
 $\text{is-tuple}[X] \wedge \neg \text{is-trivial-tuple}[X]$

(Lemma (splits are tuples): 1) $\forall_X \text{is-tuple}[\text{left-split}[X]],$
 $\text{is-tuple}[X] \wedge \neg \text{is-trivial-tuple}[X]$

(Lemma (splits are tuples): 2) $\forall_X \text{is-tuple}[\text{right-split}[X]],$
 $\text{is-tuple}[X] \wedge \neg \text{is-trivial-tuple}[X]$

(Lemma (splits are shorter): 1) $\forall_{\text{is-tuple}[X]} (\mathbf{X} > \text{left-split}[X]),$
 $\neg \text{is-trivial-tuple}[X]$

(Lemma (splits are shorter): 2) $\forall_{\text{is-tuple}[X]} (\mathbf{X} > \text{right-split}[X]),$
 $\neg \text{is-trivial-tuple}[X]$

(Lemma (closure of merge)) $\forall_{\text{is-tuple}[X]} \text{is-tuple}[\text{merged}[X, Y]],$
 $\text{is-tuple}[Y]$

(Lemma (conjecture15): conjecture15)

$$\forall_{\text{is-tuple}[X1]} (\text{is-trivial-tuple}[X1] \wedge \text{is-sorted}[X1] \Rightarrow (\text{special}[X1] = X1)),$$

(Lemma (conjecture44): conjecture44)

$$\forall_{\text{is-tuple}[X4]} (\text{is-tuple}[X2] \wedge \text{left-split}[X4] \approx X2 \wedge \text{is-sorted}[X2] \wedge \text{is-tuple}[X3] \wedge \text{right-split}[X4] \approx X3 \wedge \text{is-sorted}[X3] \wedge \neg \text{is-trivial-tuple}[X4] \Rightarrow \text{merged}[X2, X3] \approx X4)$$

We try to prove (Theorem (correctness of sort)) by well-founded induction on X .

Well-founded induction:

Assume:

(1) $\text{is-tuple}[\langle \overline{X_0} \rangle]$.

Well-Founded Induction Hypothesis:

(2) $\forall_{\text{is-tuple}[x3]} (\langle \overline{X_0} \rangle > x3 \Rightarrow \text{is-sorted-version}[x3, \text{sorted}[x3]])$

We have to show:

(3) $\text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{sorted}[\langle \overline{X_0} \rangle]]$.

We try to prove (3) by case distinction using (Algorithm (sorted)). However, the proof fails in at least one of the cases.

Case 1:

(4) `is-trivial-tuple` [$\langle \overline{X_0} \rangle$].

Hence, we have to prove

(5) `is-sorted-version` [$\langle \overline{X_0} \rangle$, `special` [$\langle \overline{X_0} \rangle$]].

Formula (4), by (Proposition (trivial tuples are sorted)), implies:

(9) `is-sorted` [$\langle \overline{X_0} \rangle$].

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

(10) $\forall_{\mathbf{Y}} \left((\mathbf{Y} = \langle \overline{X_0} \rangle) \Rightarrow \mathbf{Y} \approx \langle \overline{X_0} \rangle \right)$.

Formula (1) and (4), by (Lemma (closure of special)), implies:

(11) `is-tuple` [`special` [$\langle \overline{X_0} \rangle$]].

Formula (1) and (4), by (Lemma (conjecture15): conjecture15), implies:

(13) `special` [$\langle \overline{X_0} \rangle$] = $\langle \overline{X_0} \rangle$.

Formula (5), using (13), is implied by:

(21) `is-sorted-version` [$\langle \overline{X_0} \rangle$, $\langle \overline{X_0} \rangle$].

Formula (21), using (Definition (is sorted version)), is implied by:

(22) `is-tuple` [$\langle \overline{X_0} \rangle$] \wedge $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle \wedge$ `is-sorted` [$\langle \overline{X_0} \rangle$].

We prove the individual conjunctive parts of (22):

Proof of (22.1) `is-tuple` [$\langle \overline{X_0} \rangle$]:

Formula (22.1) is true because it is identical to (1).

Proof of (22.2) $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle$:

Formula (22.2) is true by (10).

Proof of (22.3) `is-sorted` [$\langle \overline{X_0} \rangle$]:

Formula (22.3) is true because it is identical to (9).

Case 2:

(6) \neg `is-trivial-tuple` [$\langle \overline{X_0} \rangle$].

Hence, we have to prove

(8) `is-sorted-version` [$\langle \overline{X_0} \rangle$,
`merged` [`sorted` [`left-split` [$\langle \overline{X_0} \rangle$]], `sorted` [`right-split` [$\langle \overline{X_0} \rangle$]]]]].

From (6), by (2), (Lemma (splits are tuples): 1), (Lemma (splits are tuples): 2), (Lemma (splits are shorter): 1), (Lemma (splits are shorter): 1) and (Lemma (splits are shorter): 2), we obtain:

(23) `is-sorted-version` [`left-split` [$\langle \overline{X_0} \rangle$], `sorted` [`left-split` [$\langle \overline{X_0} \rangle$]]],

(24) `is-sorted-version` [`right-split` [$\langle \overline{X_0} \rangle$], `sorted` [`right-split` [$\langle \overline{X_0} \rangle$]]],

From (23), by (Definition (is sorted version)), we obtain:

$$(25) \text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{left-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-sorted} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$$

From (24), by (Definition (is sorted version)), we obtain:

$$(26) \text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \text{right-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-sorted} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$$

From (1) and (8), using (Definition (is sorted version)), is implied by:

$$(41) \text{is-tuple} [\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]] \wedge \text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted} [\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]]$$

Not all the conjunctive parts of (41) can be proved.

Proof of (41.1) $\text{is-tuple} [\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]]$:

(41.1), by (Lemma (closure of merge)) is implied by:

$$(42) \text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$$

We prove the individual conjunctive parts of (42):

Proof of (42.1) $\text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (42.1) is true because it is identical to (25.1).

Proof of (42.2) $\text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$:

Formula (42.2) is true because it is identical to (26.1).

Proof of (41.2) $\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle$:

Formula (41.2), using (Lemma (conjecture44): conjecture44), is implied by:

$$(44) \text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{left-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-sorted} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \text{right-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-sorted} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \neg \text{is-trivial-tuple} [\langle \overline{X_0} \rangle]$$

We prove the individual conjunctive parts of (44):

Proof of (44.1) $\text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.1) is true because it is identical to (25.1).

Proof of (44.2) $\text{left-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.2) is true because it is identical to (25.1).

Proof of (44.3) $\text{is-sorted} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.3) is true because it is identical to (25.3).

Proof of (44.4) $\text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.4) is true because it is identical to (26.1).

Proof of (44.5) $\text{right-split}[\langle \bar{X}_0 \rangle] \approx \text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]$:

Formula (44.5) is true because it is identical to (26.2).

Proof of (44.6) $\text{is-sorted}[\text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]]$:

Formula (44.6) is true because it is identical to (26.2).

Proof of (44.7) $\neg \text{is-trivial-tuple}[\langle \bar{X}_0 \rangle]$:

Formula (44.7) is true because it is identical to (6).

Proof of (41.3)

$\text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]], \text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]]$:

The proof of (41.3) fails. (The prover "QR" was unable to transform the proof situation.)

□

■ Successful Proof (with Specifications of Subalgorithms Extractd from Third Proof

Attempt)

Prove:

(Theorem (correctness of sort)) $\forall_{\text{is-tuple}[X]} \text{is-sorted-version}[X, \text{sorted}[X]]$,

under the assumptions:

(Definition (is sorted): 1) $\text{is-sorted}[\langle \rangle]$,

(Definition (is sorted): 2) $\forall_x \text{is-sorted}[\langle x \rangle]$,

(Definition (is sorted): 3) $\forall_{x,y,\bar{z}} (\text{is-sorted}[\langle x, y, \bar{z} \rangle] \Leftrightarrow x \geq y \wedge \text{is-sorted}[\langle y, \bar{z} \rangle])$,

(Definition (is permuted version): 1) $\langle \rangle \approx \langle \rangle$,

(Definition (is permuted version): 2) $\forall_{y,\bar{y}} (\langle \rangle \neq \langle y, \bar{y} \rangle)$,

(Definition (is permuted version): 3) $\forall_{x,\bar{x},\bar{y}} (\langle \bar{y} \rangle \approx \langle x, \bar{x} \rangle \Leftrightarrow x \in \langle \bar{y} \rangle \wedge \text{dfo}[x, \langle \bar{y} \rangle] \approx \langle \bar{x} \rangle)$,

(Definition (is sorted version))

$\forall_{x,y} (\text{is-sorted-version}[X, Y] \Leftrightarrow \text{is-tuple}[Y] \wedge X \approx Y \wedge \text{is-sorted}[Y])$,

(Proposition (is tuple tuple)) $\forall_{\bar{x}} \text{is-tuple}[\langle \bar{x} \rangle]$,

(Definition (prepend): \neg) $\forall_{x,\bar{y}} (x \sim \langle \bar{y} \rangle = \langle x, \bar{y} \rangle)$,

(Proposition (singleton tuple is singleton tuple)) $\forall_x \text{is-singleton-tuple}[\langle x \rangle]$,

(Definition (is trivial tuple))

$$\forall_{\text{is-tuple}[X]} (\text{is-trivial-tuple}[X] \Leftrightarrow \text{is-empty-tuple}[X] \vee \text{is-singleton-tuple}[X]),$$

(Definition (is element): 1) $\forall_x (x \notin \langle \rangle)$,

(Definition (is element): 2) $\forall_{x,y,\bar{y}} (x \in \langle y, \bar{y} \rangle \Leftrightarrow (x = y) \vee x \in \langle \bar{y} \rangle)$,

(Definition (deletion of the first occurrence): 1) $\forall_a (\text{dfo}[a, \langle \rangle] = \langle \rangle)$,

(Definition (deletion of the first occurrence): 2)

$$\forall_{a,x,\bar{x}} (\text{dfo}[a, \langle x, \bar{x} \rangle] = \|\langle \bar{x} \rangle \Leftarrow x = a, x - \text{dfo}[a, \langle \bar{x} \rangle] \Leftarrow \text{otherwise}\|),$$

(Definition (is longer than): 1) $\forall_{\bar{y}} (\langle \rangle \not> \langle \bar{y} \rangle)$,

(Definition (is longer than): 2) $\forall_{x,\bar{x}} (\langle x, \bar{x} \rangle > \langle \rangle)$,

(Definition (is longer than): 3) $\forall_{x,\bar{x},y,\bar{y}} (\langle x, \bar{x} \rangle > \langle y, \bar{y} \rangle \Leftrightarrow \langle \bar{x} \rangle > \langle \bar{y} \rangle)$,

(Proposition (trivial tuples are sorted)) $\forall_{\bar{x}} \text{is-sorted}[\langle \bar{x} \rangle]$,
 $\text{is-trivial-tuple}[\langle \bar{x} \rangle]$

(Proposition (only trivial tuple permuted version of itself)) $\forall_{\bar{x},y} ((Y = \langle \bar{x} \rangle) \Rightarrow Y \approx \langle \bar{x} \rangle)$,
 $\text{is-trivial-tuple}[\langle \bar{x} \rangle]$

(Proposition (reflexivity of permuted version)) $\forall_{\bar{x}} (\langle \bar{x} \rangle \approx \langle \bar{x} \rangle)$,

(Algorithm (sorted))

$$\forall_{\text{is-tuple}[X]} (\text{sorted}[X] = \|\text{special}[X] \Leftarrow \text{is-trivial-tuple}[X], \text{merged}[\text{sorted}[\text{left-split}[X]], \text{sorted}[\text{right-split}[X]]] \Leftarrow \text{otherwise}\|),$$

(Lemma (closure of special)) $\forall_x \text{is-tuple}[\text{special}[X]]$,
 $\text{is-tuple}[X] \wedge \neg \text{is-trivial-tuple}[X]$

(Lemma (splits are tuples): 1) $\forall_x \text{is-tuple}[\text{left-split}[X]]$,
 $\text{is-tuple}[X] \wedge \neg \text{is-trivial-tuple}[X]$

(Lemma (splits are tuples): 2) $\forall_x \text{is-tuple}[\text{right-split}[X]]$,
 $\text{is-tuple}[X] \wedge \neg \text{is-trivial-tuple}[X]$

(Lemma (splits are shorter): 1) $\forall_{\text{is-tuple}[X]} (\mathbf{X} > \text{left-split}[X])$,
 $\neg \text{is-trivial-tuple}[X]$

(Lemma (splits are shorter): 2) $\forall_{\text{is-tuple}[X]} (\mathbf{X} > \text{right-split}[X])$,
 $\neg \text{is-trivial-tuple}[X]$

(Lemma (closure of merge)) $\forall_{\text{is-tuple}[X]} \text{is-tuple}[\text{merged}[X, Y]]$,
 $\text{is-tuple}[Y]$

(Lemma (conjecture15): conjecture15)

$$\forall_{\mathbf{x1}} \text{is-tuple}[\mathbf{x1}] \quad (\text{is-trivial-tuple}[\mathbf{x1}] \wedge \text{is-sorted}[\mathbf{x1}] \Rightarrow (\text{special}[\mathbf{x1}] = \mathbf{x1})),$$

(Lemma (conjecture44): conjecture44)

$$\forall_{\mathbf{x2}, \mathbf{x3}, \mathbf{x4}} \text{is-tuple}[\mathbf{x4}] \quad (\text{is-tuple}[\mathbf{x2}] \wedge \text{left-split}[\mathbf{x4}] \approx \mathbf{x2} \wedge \text{is-sorted}[\mathbf{x2}] \wedge \text{is-tuple}[\mathbf{x3}] \wedge \text{right-split}[\mathbf{x4}] \approx \mathbf{x3} \wedge \text{is-sorted}[\mathbf{x3}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x4}] \Rightarrow \text{merged}[\mathbf{x2}, \mathbf{x3}] \approx \mathbf{x4})$$

(Lemma (conjecture46): conjecture46)

$$\forall_{\mathbf{x5}, \mathbf{x6}, \mathbf{x7}} \text{is-tuple}[\mathbf{x7}] \quad (\text{is-tuple}[\mathbf{x5}] \wedge \text{left-split}[\mathbf{x7}] \approx \mathbf{x5} \wedge \text{is-sorted}[\mathbf{x5}] \wedge \text{is-tuple}[\mathbf{x6}] \wedge \text{right-split}[\mathbf{x7}] \approx \mathbf{x6} \wedge \text{is-sorted}[\mathbf{x6}] \wedge \neg \text{is-trivial-tuple}[\mathbf{x7}] \Rightarrow \text{is-sorted}[\text{merged}[\mathbf{x5}, \mathbf{x6}]])$$

We prove (Theorem (correctness of sort)) by well-founded induction on X .

Well-founded induction:

Assume:

$$(1) \text{is-tuple}[\langle \overline{X_0} \rangle].$$

Well-Founded Induction Hypothesis:

$$(2) \forall_{\text{is-tuple}[\mathbf{x4}]} (\langle \overline{X_0} \rangle > \mathbf{x4} \Rightarrow \text{is-sorted-version}[\mathbf{x4}, \text{sorted}[\mathbf{x4}]])$$

We have to show:

$$(3) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{sorted}[\langle \overline{X_0} \rangle]].$$

We prove (3) by case distinction using (Algorithm (sorted)).

Case 1:

$$(4) \text{is-trivial-tuple}[\langle \overline{X_0} \rangle].$$

Hence, we have to prove

$$(5) \text{is-sorted-version}[\langle \overline{X_0} \rangle, \text{special}[\langle \overline{X_0} \rangle]].$$

Formula (4), by (Proposition (trivial tuples are sorted)), implies:

$$(9) \text{is-sorted}[\langle \overline{X_0} \rangle].$$

Formula (4), by (Proposition (only trivial tuple permuted version of itself)), implies:

$$(10) \forall_{\mathbf{y}} (\mathbf{y} = \langle \overline{X_0} \rangle \Rightarrow \mathbf{y} \approx \langle \overline{X_0} \rangle).$$

Formula (1) and (4), by (Lemma (closure of special)), implies:

$$(11) \text{is-tuple} [\text{special} [\langle \overline{X_0} \rangle]].$$

Formula (1) and (4), by (Lemma (conjecture15): conjecture15), implies:

$$(13) \text{special} [\langle \overline{X_0} \rangle] = \langle \overline{X_0} \rangle.$$

Formula (5), using (13), is implied by:

$$(21) \text{is-sorted-version} [\langle \overline{X_0} \rangle, \langle \overline{X_0} \rangle].$$

Formula (21), using (Definition (is sorted version)), is implied by:

$$(22) \text{is-tuple} [\langle \overline{X_0} \rangle] \wedge \langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle \wedge \text{is-sorted} [\langle \overline{X_0} \rangle].$$

We prove the individual conjunctive parts of (22):

Proof of (22.1) $\text{is-tuple} [\langle \overline{X_0} \rangle]$:

Formula (22.1) is true because it is identical to (1).

Proof of (22.2) $\langle \overline{X_0} \rangle \approx \langle \overline{X_0} \rangle$:

Formula (22.2) is true by (10).

Proof of (22.3) $\text{is-sorted} [\langle \overline{X_0} \rangle]$:

Formula (22.3) is true because it is identical to (9).

Case 2:

$$(6) \neg \text{is-trivial-tuple} [\langle \overline{X_0} \rangle].$$

Hence, we have to prove

$$(8) \text{is-sorted-version} [\langle \overline{X_0} \rangle, \text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]].$$

From (6), by (2), (Lemma (splits are tuples): 1), (Lemma (splits are tuples): 2), (Lemma (splits are shorter): 1), (Lemma (splits are shorter): 1) and (Lemma (splits are shorter): 2), we obtain:

$$(23) \text{is-sorted-version} [\text{left-split} [\langle \overline{X_0} \rangle], \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]],$$

$$(24) \text{is-sorted-version} [\text{right-split} [\langle \overline{X_0} \rangle], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]],$$

From (23), by (Definition (is sorted version)), we obtain:

$$(25) \text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{left-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]] \wedge \text{is-sorted} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$$

From (24), by (Definition (is sorted version)), we obtain:

$$(26) \text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \text{right-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]] \wedge \text{is-sorted} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$$

From (1) and (8), using (Definition (is sorted version)), is implied by:

$$(41) \text{is-tuple} [\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]] \wedge \\ \text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle \wedge \\ \text{is-sorted} [\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]]$$

We prove the individual conjunctive parts of (41):

Proof of (41.1) $\text{is-tuple} [\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]]$:

(41.1), by (Lemma (closure of merge)) is implied by:

$$(42) \text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]].$$

We prove the individual conjunctive parts of (42):

Proof of (42.1) $\text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (42.1) is true because it is identical to (25.1).

Proof of (42.2) $\text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$:

Formula (42.2) is true because it is identical to (26.1).

Proof of (41.2) $\text{merged} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]], \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \approx \langle \overline{X_0} \rangle$:

Formula (41.2), using (Lemma (conjecture44): conjecture44), is implied by:

$$(44) \text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \\ \text{left-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]] \wedge \\ \text{is-sorted} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]] \wedge \text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \\ \text{right-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]] \wedge \\ \text{is-sorted} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]] \wedge \neg \text{is-trivial-tuple} [\langle \overline{X_0} \rangle]$$

We prove the individual conjunctive parts of (44):

Proof of (44.1) $\text{is-tuple} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.1) is true because it is identical to (25.1).

Proof of (44.2) $\text{left-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]$:

Formula (44.2) is true because it is identical to (25.1).

Proof of (44.3) $\text{is-sorted} [\text{sorted} [\text{left-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.3) is true because it is identical to (25.3).

Proof of (44.4) $\text{is-tuple} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.4) is true because it is identical to (26.1).

Proof of (44.5) $\text{right-split} [\langle \overline{X_0} \rangle] \approx \text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]$:

Formula (44.5) is true because it is identical to (26.2).

Proof of (44.6) $\text{is-sorted} [\text{sorted} [\text{right-split} [\langle \overline{X_0} \rangle]]]$:

Formula (44.6) is true because it is identical to (26.2).

Proof of (44.7) $\neg \text{is-trivial-tuple} [\langle \overline{X_0} \rangle]$:

Formula (44.7) is true because it is identical to (6).

Proof of (41.3)

$\text{is-sorted}[\text{merged}[\text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]], \text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]]]$:

Formula (41.3), using (Lemma (conjecture46): conjecture46), is implied by:

$$(52) \text{is-tuple}[\text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]]] \wedge$$

$$\text{left-split}[\langle \bar{X}_0 \rangle] \approx \text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]] \wedge$$

$$\text{is-sorted}[\text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]]] \wedge \text{is-tuple}[\text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]] \wedge$$

$$\text{right-split}[\langle \bar{X}_0 \rangle] \approx \text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]] \wedge$$

$$\text{is-sorted}[\text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]] \wedge \neg \text{is-trivial-tuple}[\langle \bar{X}_0 \rangle]$$

We prove the individual conjunctive parts of (52):

Proof of (52.1) $\text{is-tuple}[\text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]]]$:

Formula (52.1) is true because it is identical to (25.1).

Proof of (52.2) $\text{left-split}[\langle \bar{X}_0 \rangle] \approx \text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]]$:

Formula (52.2) is true because it is identical to (25..2).

Proof of (52.3) $\text{is-sorted}[\text{sorted}[\text{left-split}[\langle \bar{X}_0 \rangle]]]$:

Formula (52.3) is true because it is identical to (25.3).

Proof of (52.4) $\text{is-tuple}[\text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]]$:

Formula (52.4) is true because it is identical to (26.1).

Proof of (52.5) $\text{right-split}[\langle \bar{X}_0 \rangle] \approx \text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]$:

Formula (52.5) is true because it is identical to (26.2).

Proof of (52.6) $\text{is-sorted}[\text{sorted}[\text{right-split}[\langle \bar{X}_0 \rangle]]]$:

Formula (52.6) is true because it is identical to (26.3).

Proof of (52.7) $\neg \text{is-trivial-tuple}[\langle \bar{X}_0 \rangle]$:

Formula (52.7) is true because it is identical to (6).

□